

August 31, 2021

Submitted via CFTC Portal

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: MarketAxess SEF Corporation – Regulation 40.6(d) Notification of Rule Amendments

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c) of the Commodity Exchange Act, as amended (the “Act”), and Section 40.6(d) of the regulations of the Commodity Futures Trading Commission (the “Commission” or “CFTC”), MarketAxess SEF Corporation (“MarketAxess”), a registered swap execution facility, hereby notifies the Commission that it has made certain non-substantive revisions to Appendix A of the MarketAxess Rulebook effective as of September 1, 2021.

Specifically, MarketAxess has made the following changes:

- Removed references to the products listed in Appendix A to the MarketAxess SEF Rulebook (Credit Contracts Terms and Conditions) as set forth in Exhibit I; and
- Updated the MarketAxess SEF Rulebook effective date and table of contents.

Attached as Exhibit II is a clean copy of the MarketAxess SEF Rulebook, as amended.
Attached as Exhibit III is a marked copy of the MarketAxess SEF Rulebook showing changes to the prior version.

Please contact Ron Steinfeld, Chief Compliance Officer, at (212) 813-6280 or by email at rsteinfeld@marketaxess.com with any questions regarding this matter.

Very truly yours,
MarketAxess SEF Corporation

By: ___/s/Ron Steinfeld_____
Name: Ron Steinfeld
Title: Chief Compliance Officer
Date: August 31, 2021

Enclosures



T +1 212 813 6000
F +1 212 813 6060
marketaxess.com

55 Hudson Yards 15th Floor
New York, NY 10001
United States of America

EXHIBIT I**List of Delisted Products**

1. CDX EM Index
2. CDX EM ex-EU Index
3. CDX LATAM CORP Index
4. North American High Yield CDX Index
5. North American Investment Grade CDX Index
6. Markit CDX HVOL Sub-index
7. CDX.NA.XO
8. iTraxx Asia ex-Japan Index
9. iTraxx Asia ex-Japan IG Index
10. iTraxx Australia
11. iTraxx CEEMEA Index
12. iTraxx CEEMEA Corp
13. iTraxx CEEMEA Ex-EU
14. iTraxx Europe Index
15. iTraxx Senior Financials
16. iTraxx Subordinated Financials
17. iTraxx HiVol
18. iTraxx Japan
19. iTraxx SovX Western Europe Index
20. iTraxx SovX Asia Pacific Index
21. iTraxx Crossover Index
22. CDX.EM Option Contract
23. CDX.NA.HY Option Contract
24. CDX.NA.IG Option Contract
25. iTraxx Europe Option Contract
26. iTraxx Europe Crossover Option Contract
27. iTraxx Europe Senior Financials Option Contract

EXHIBIT II

MarketAxess SEF Rulebook (Clean)



T +1 212 813 6000
F +1 212 813 6060
marketaxess.com

55 Hudson Yards 15th Floor
New York, NY 10001
United States of America

EXHIBIT III

MarketAxess SEF Rulebook (Marked)