

# MarketAxess Canada Company

## PRIVACY POLICY

Your privacy is important to MarketAxess Canada Company (MACAN). This Privacy Policy provides information about the personal information that MACAN collects, and the ways in which MACAN uses that personal information.

### Personal information collection

MACAN may collect and use the following kinds of personal information:

- Information about transactions and inquiries carried out over our trading system.
- Information that you provide for the purpose of subscribing to MACAN's services.
- Any other information that you send to MACAN.

### Using personal information

MACAN may use your personal information to:

- Establish you as a user of its trading service;
- Enable your access to MACAN's data services;
- Send you statements and invoices and collect payments from you; and
- Send you marketing communications.

### Disclosure of personal information

MACAN will not release your personal information to any third party except where (i) you have consented to such release, (ii) we are required to do so by competent legal authority or (iii) we are required to do so by any government securities regulator or self regulatory organization.

Where MACAN discloses your personal information to its affiliates for the purpose of providing its services to you, the affiliate in question will be obligated to use that personal information in accordance with the terms of this privacy policy.

### Securing your data

MACAN will take reasonable technical and organisational precautions to prevent the loss, misuse or alteration of your personal information.

MACAN will store all the personal information you provide in a secure location or on its secure servers and will safeguard it in the same way that it safeguards its own private information.

### Access to data

Upon request, we will provide you with access to any of your personal information that we maintain and allow you to verify the accuracy thereof and make corrections where necessary.

### Questions or Concerns

If you have any questions or concerns regarding our Privacy Policy, please contact the Chief Privacy Officer in writing at: 55 Hudson Yards, 15<sup>th</sup> Floor, New York, NY, USA, 10001.

### Consent

By using MACAN's services you acknowledge that you have read and understand this Privacy Policy and agree to its terms and conditions.