



Certificate



Certificate number: 2017-016

Certified by EY CertifyPoint since: September 1, 2017

Based on certification examination in conformity with defined requirements in ISO/IEC 17021-1:2015 and ISO/IEC 27006:2015/A1:2020, the Information Security Management System as defined and implemented by

MarketAxess*

located in New York City, NY, United States of America is compliant with the requirements as stated in the standard:

ISO/IEC 27001:2013

Issue date of certificate: January 7, 2021

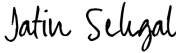
Re-issue date of certificate: January 27, 2022

Expiration date of certificate: August 7, 2023

Last certification cycle expiration date: November 30, 2020

EY CertifyPoint will, according to the certification agreement dated September 15, 2020, perform surveillance audits and acknowledge the certificate until the expiration date noted above.

**The certification is applicable for the assets, services and locations as described in the scoping section at the back of this certificate, with regard to the specific requirements for information security as stated in the Statement of Applicability (00104), version 5, dated October 26, 2020.*

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J. Sehgal | Director, EY CertifyPoint

MarketAxess

Scope for certificate 2017-016

The scope of this ISO/IEC 27001:2013 certification is as follows:

The Information Security Management System is limited to the following MarketAxess product bundles along with their product offerings and associated infrastructure:

MarketAxess Trading Platform (including MTF (Multilateral Trading Facility consisting of Linx, Control Center, OTB, MIS), BondLink, Bulk Data, and MA Portal)

Post-Trade Insight (including Dashboard/Insight, APA, EDS, Best Execution (RTS27 & RTS28), Reference data reporting (RTS23), SI Determination, Match, EMIR, SFTR, UTI Portal, Report (ARM), and ReferenceData (RefDB))

Post-Trade Data (including Data Products (DataWareHouse) and Reference Data (SecDB))

Associated HR system (UltiPro) is also included. Any systems not included here are considered out of scope.

The Information Security Management System is centrally managed out of 55 Hudson Yards Floor 15, New York City, New York, United States of America. The scope mentioned above applies to the following locations:

55 Hudson Yards Floor 15, New York City, NY, USA
5 Aldermanbury Square, London, UK
Herengracht 280, 1016 BX Amsterdam, the Netherlands
10 Collyer Quay, #40-00, Ocean Financial Centre, Singapore
Primary data center, Secaucus, NJ, USA (data center)
Secondary data center, Ashburn, VA, USA (data center)

The Information Security Management System mentioned in the above scope is restricted as defined in "ISMS Manual (00103)" version 5, dated October 27, 2020.

This scope is only valid in connection with certificate 2017-016.